



THE MOST ADVANCED
WAY TO UNDERSTAND
FINANCIAL OUTSOURCING

Inversis



Unique solutions for unique customers

Our mission is to provide a **global outsourcing service of financial solutions** for institutional clients, securities agencies, managers, insurers and entities that already operate or want to start operating in the financial assets marketplace.

We know that no two customers are the same. **Our innovative and professional capabilities** and our constant commitment to new technologies allow us to create **solutions adapted** to the needs of your business. We believe that understanding our clients is essential to offering what they demand. Our commitment is total, so that we become your trusted partner in **helping you to grow and achieve your goals.**



An Outstanding Offer

Forget “all or nothing” and “mass production” solutions. **Optimize the efficiency of your business** thanks to our comprehensive, integrated financial services technology, which is both modular and scalable

At Inversis we offer you total freedom to choose from the most efficient and complete outsourcing services on offer in the marketplace:

- Variable Income from the world's major markets
- Fixed Income
- Open Architecture Investment Funds, ETF's
- Pension Plan Platform
- Derivatives

Investment Ideas

- Design and selection of customized fund portfolios, with quantitative and qualitative analysis
- Design and access to Structured Notes with the major issuers

- Creation of Fixed Income ideas adapted to each client

Services

- Intermediation and execution of orders
- Operations settlement
- Custody and administration of securities
- Online management of financial transactions
- Depositary of investment and pension funds
- Investment fund management
- Reporting to regulatory bodies
- Automated re-balancing of investment fund portfolios
- Trading services
- MiFID II Compliance
- Digital onboarding

...amongst others things

Leading-edge or traditional? You decide

A service based on global accounts in the purest traditional style?

An innovative approach, in search of efficiency and cost savings, based on an outsourcing model? **With Inversis you choose** the best solution for your business.

We respond in real time, even when accessing historical information, thus eliminating barriers and waiting times. Productivity and trust at the point of sale, state-of-the-art Internet-based technology and international standards with one clear objective: to facilitate your connectivity without complications. Time-to-market.

Scalability, evolution. Your business sets the pace

The financial instruments industry never stops evolving: new products, new markets, new national and transnational regulations ... With Inversis you will be able to **make the right decisions at the right time** and take advantage of the opportunities offered by the marketplace. And most importantly, our outsourcing model allows you to devote your attention and resources to the management of your business.

We have a deep **knowledge of the financial world**, and we always keep abreast of new regulations. We guarantee the continuous efficiency of our services, thus avoiding new investment and re-engineering of processes.

With Inversis you will have **personalised turnkey solutions** for a world in constant movement. From Own-Branded Internet frontend pages or pages integrated into your corporate identity, to applications for all types of mobile devices, which will increase the value proposition to your end client.



Moving forward together in the same direction

Because both the environment and the needs of your business change, Inversis proposals must be **flexible and dynamic**. Long-term.

Our ongoing involvement is necessary to ensure that we are the **technological partner** to whom you can trust your financial services. A business “partnership” that grows and evolves.

Experience and talent. Innovation.

Real and constant commitment and business vocation are our central values -Principles that we observe continuously and that guarantee the quality of our service.





**FINANCIAL SOLUTIONS FOR
A MARKET IN CONSTANT
MOVEMENT**

VARIABLE INCOME AND ETF's

From execution to custody: We help to grow your business

Our integrated execution-liquidation-custody service is based on a “contractual settlement”. Which means that as soon as we have confirmation of execution, the securities are recorded in the securities account, regardless of the settlement process, according to standard practices of each market. In the case of purchases, this implies that they are immediately available for sale, because time is money.

Our goal is to offer you maximum transactional freedom. In particular, if you decide to make this offer immediately available to your end customers. There is just one objective: to help you to grow.

From the long-term investor to the short-term technical investor

With our Internet own-brand frontend system we have solutions able to cover the needs of those users with reduced trading activity, as well as the requirements of heavy traders who wish to have both transactional information and queries on the same screen to allow them to monitor their activities closely.

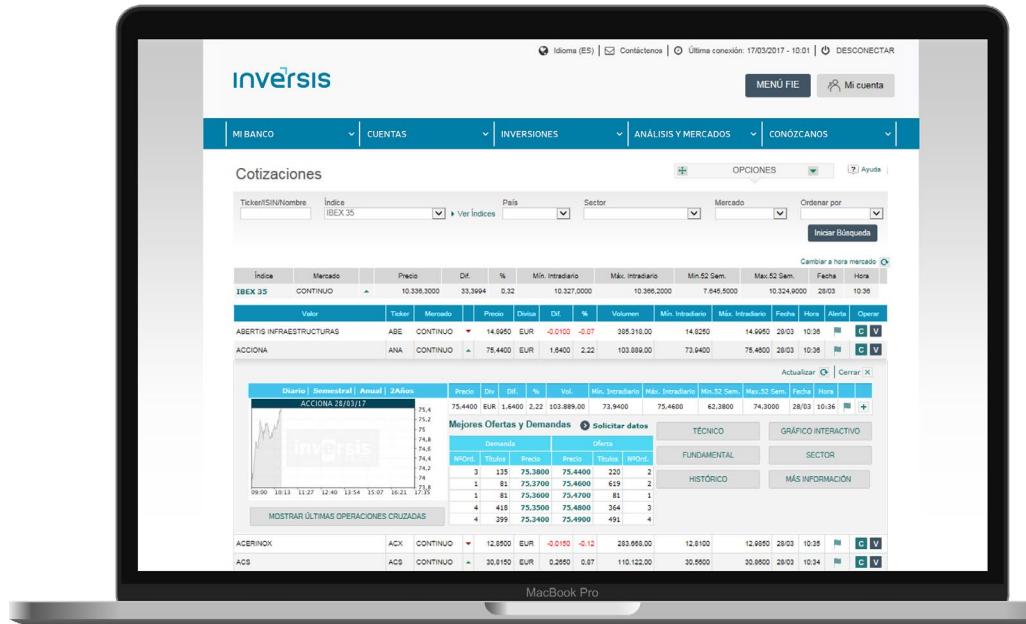
Our service can also be integrated with third-party tools (trading, search engines, etc.).

A world of options

Beyond the basic market transactions, our service offers rare alternatives such as the possibility of processing stop-loss or trading-stop orders; orders based on a value or evolution of any other value or index; orders to become effective on a future date, etc.

From the retail investor to the professional: full cycle or only liquidation and custody?

Aware of the characteristics of the professional business (own-account dealers, investment and pension fund managers, insurance companies, etc.) and their need to interact with other entities, we allow you to choose freely to either operate through third parties or through us. In the latter case, our service will focus on the liquidation of operations executed by other entities and the custody of financial assets. Once the settlement instructions have been sent, you can check their status through our portal or via SWIFT messaging.



A global offer in real time

The Online Brokerage service will allow your employees and customers to perform "real-time" operations in the leading international equity markets and ETFs. From Asia to America.



The power of outsourcing

Especially interesting for companies that operate with final clients, this service, combined with some of the other main characteristics of our offering, avoids transactional complications. Our goal is to help you to focus on your core business - the management of your financial assets.

- Service at the end-user level for individual securities accounts or the traditional option of using global accounts.
 - Carrying out the suitability or appropriateness tests in the taking of orders, according to the profile that the entity has assigned to the client and to the product / market / value.
 - Generation of receipts for personalized orders, carrying the brand name and disclaimers of the client, with the possibility of scanning and optical storage.
 - Calculation of the commissions that the entity charges its final clients.
 - The option of personalizing the value dates of the charges and payments derived from the operations.
 - Management of compulsory and voluntary financial transactions, with the possibility of establishing default action policies in the absence of instructions from the final owner and the possibility of processing instructions online.
 - Reporting to regulatory authorities.



Connectivity as a key element

Our goal is to facilitate integration and connectivity with the institutional client.

Therefore, we offer multiple alternatives using market standards and proprietary solutions, both in real time and as batch processes:

- FIX
 - SWIFT
 - XML
 - Web Services
 - API Solutions

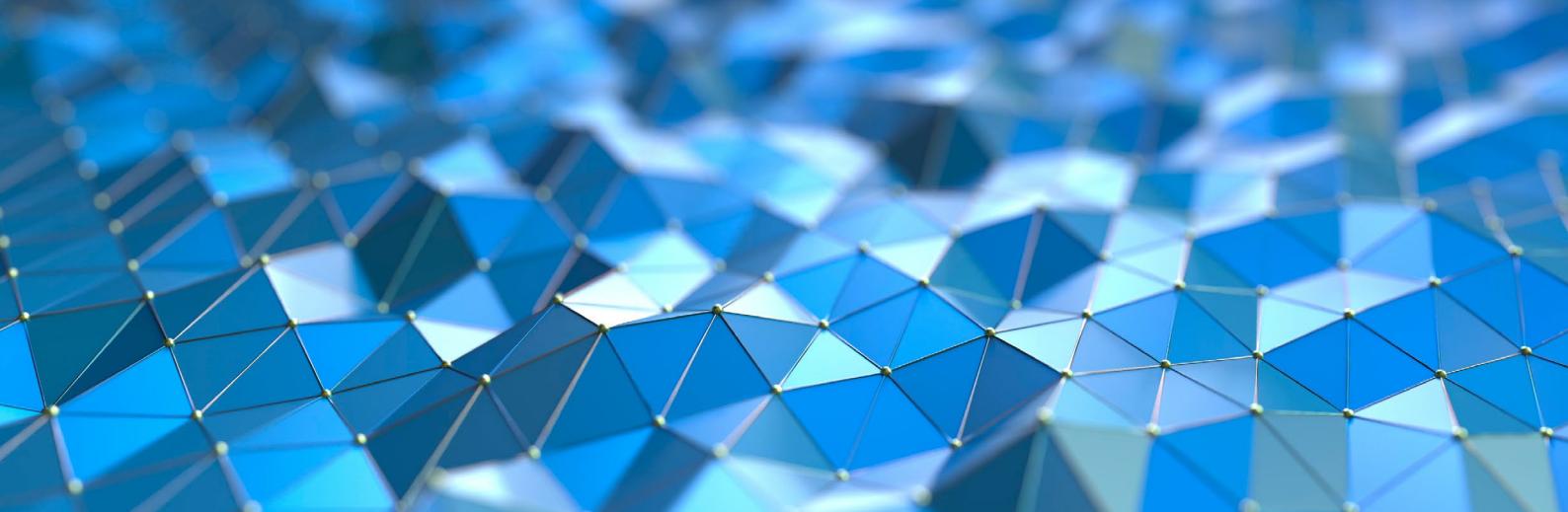
INVESTMENT FUNDS AND PENSION PLAN PLATFORM

A unique solution for a universal service

We are one of the largest mutual fund and pension fund platforms. Our investment funds processing allows us to access the products of 400 national and international managers. You can forget the complications of multiple operating processes with different fund managers, and still control the contract and liquidation dates of each fund, etc. And if you want a product from a fund manager that is not included in our offer, you just have to ask us. As a platform for incorporating investment funds, our vocation and commitment is to include the products that institutional clients need.

Open architecture, guided architecture ... You choose

Our service allows you to choose which managers to include in your offer to clients from the universe of fund managers. Not only that, but you can also choose from each manager's available funds. If your employees access the service through our Internet portal, it is possible to limit the access of each type of user to a certain range of products.



Efficient service in a regulated environment

The activities of distribution and sale of investment funds are governed by obligations to provide final customers with all the documentation foreseen in the regulations, together with the consequential costs of production and distribution of these materials.

Our solution allows us to deal with the distribution of these products in an efficient way, complying at all times with the obligations derived from this activity. Access the documentation of the funds (brochures, KIID, financial statements, commercial files) with a simple click in real time and forget about logistical issues.

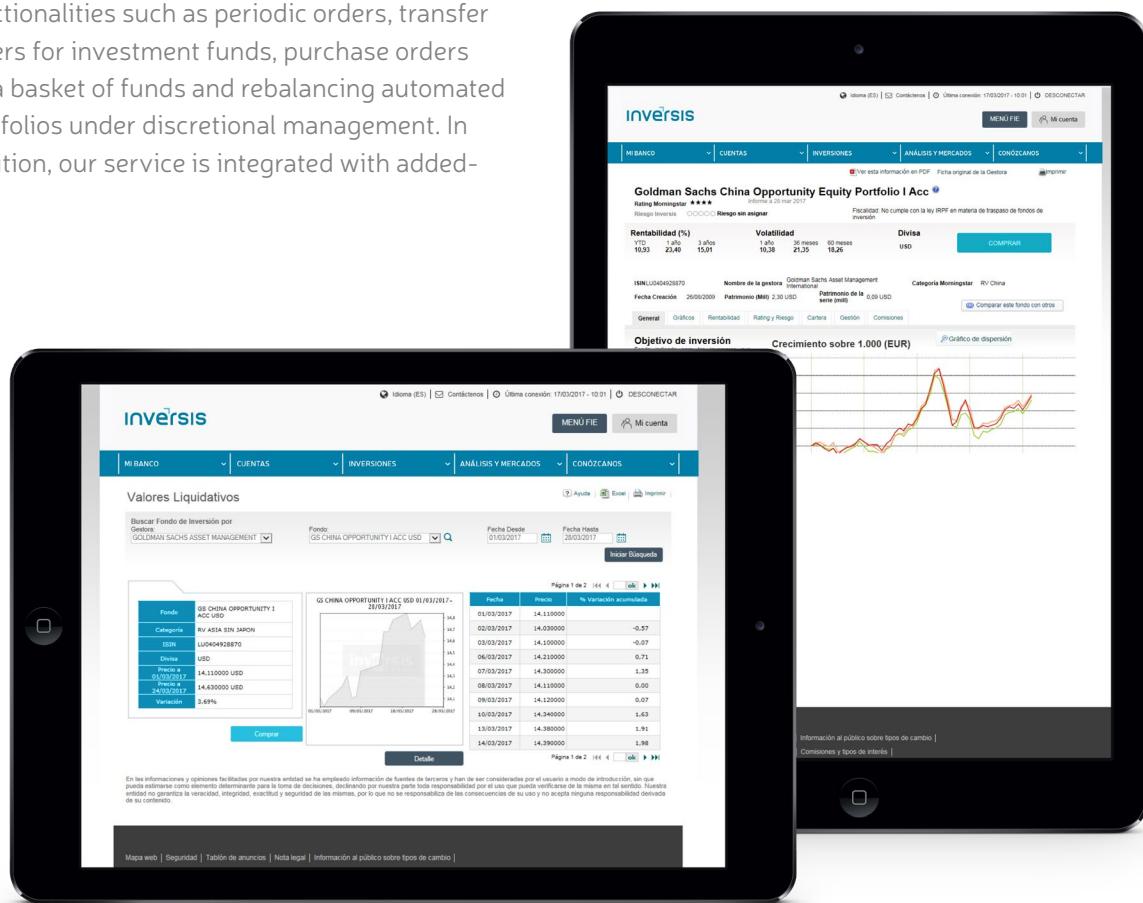
From retail distribution to professional manager

Every business has its own needs. Which is why our service allows you to decide on topics such as, for example, if the subscription orders have to be entered in value or in shares, or both. Our transactional service allows us to have functionalities such as periodic orders, transfer orders for investment funds, purchase orders for a basket of funds and rebalancing automated portfolios under discretionary management. In addition, our service is integrated with added-

value tools designed by third-party companies, such as search engines with which your employees and final customers can identify the products that best meet their needs, making comparisons and analysing their behaviour against different time horizons, etc.

You manage your business. It's your decision

As a platform for investment funds, Inversis has distribution agreements with all the managers included on its platform. Thanks to these agreements, you can negotiate with us the applicable economic conditions, thus avoiding time-consuming resources negotiating agreements with each of the management companies. However, the decision is yours. If you want to negotiate direct agreements with fund managers or combine the two, you have the freedom to do so. Whatever you choose, the level and depth of our service will be the same.





The power of outsourcing in investment funds

Of special interest for companies that work with end-users, this service, combined with some of the main characteristics of our offer, avoids transactional complications.

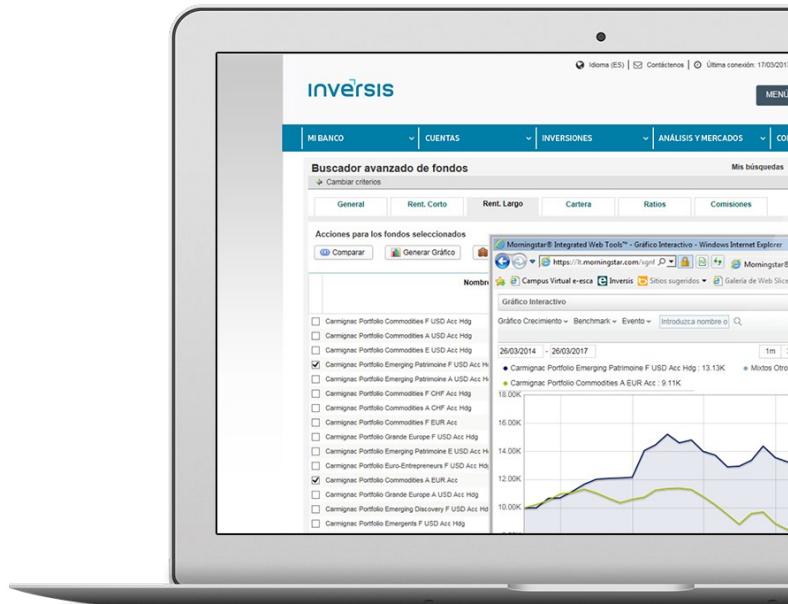
- Service at end-user level for individual trading accounts or the traditional option of global accounts.
- Performance of the suitability or appropriateness test in the taking of orders according to an established client and product profile.
- Detailed information about the characteristics of the product and access to the legal documentation of the fund.
- Generation of receipts for personalized orders with the brand name and disclaimers of the client, with the possibility of scanning and optical storage.
- Fiscal simulations and consultation of FIFOs.
- Maintenance and sending of obligatory information to regulatory bodies.
- Calculation of commissions generated by each final customer and product.

A unique experience for the professional client: Hedge Funds

Specially designed to meet the investment needs of the professional, our services extend to the world of alternative management funds (hedge funds). An investment alternative that is characterised by its lack of standardisation and by parameters that make it entirely different from traditional funds. With our service, you will discover that the dealing and custody of these products does not have to be different. It is all integrated. All within one position statement.

At the service of your business: a team of professionals who provide solutions at each phase of the investment process

Inversis is much more than a transactional platform. Our team of analysts and fund selectors is among the best in the market. Their objective is to help identify unique, non-conventional products that provide alternatives capable of meeting specific investment expectations. You can access the reports and opinions of our experts, and raise your doubts and concerns. But if you want to go further, in line with your requirements our service can include the selection and maintenance of your fund portfolios, preparation of materials and sales literature for commercial areas, the necessary knowledge, etc.



SERVICES TO COLLECTIVE INVESTMENT INSTITUTIONS (CIIs)



Administration of CIIs

If your talent is asset management, why complicate your life with administrative challenges? Our service allows you to offload all the issues related to the administration of this type of vehicle, entrusting them to a specialist who will simplify their organization and structure.

Forget about old stereotypes. With a simple Internet connection, you will have access to all the information relating to your investment funds and collective investments. Simply, and with an enormous depth of information:

- Calculation of net asset value.
- Access to accounting movements of all kinds (accruals, etc.).
- Handling of the shareholder's module and the Shareholders' register of Collective Investment Institutions.
- Generation of information to regulatory bodies (CNMV, Tax Agency, etc.).
- Interaction with marketers and distribution platforms.

Deposit of CIIs

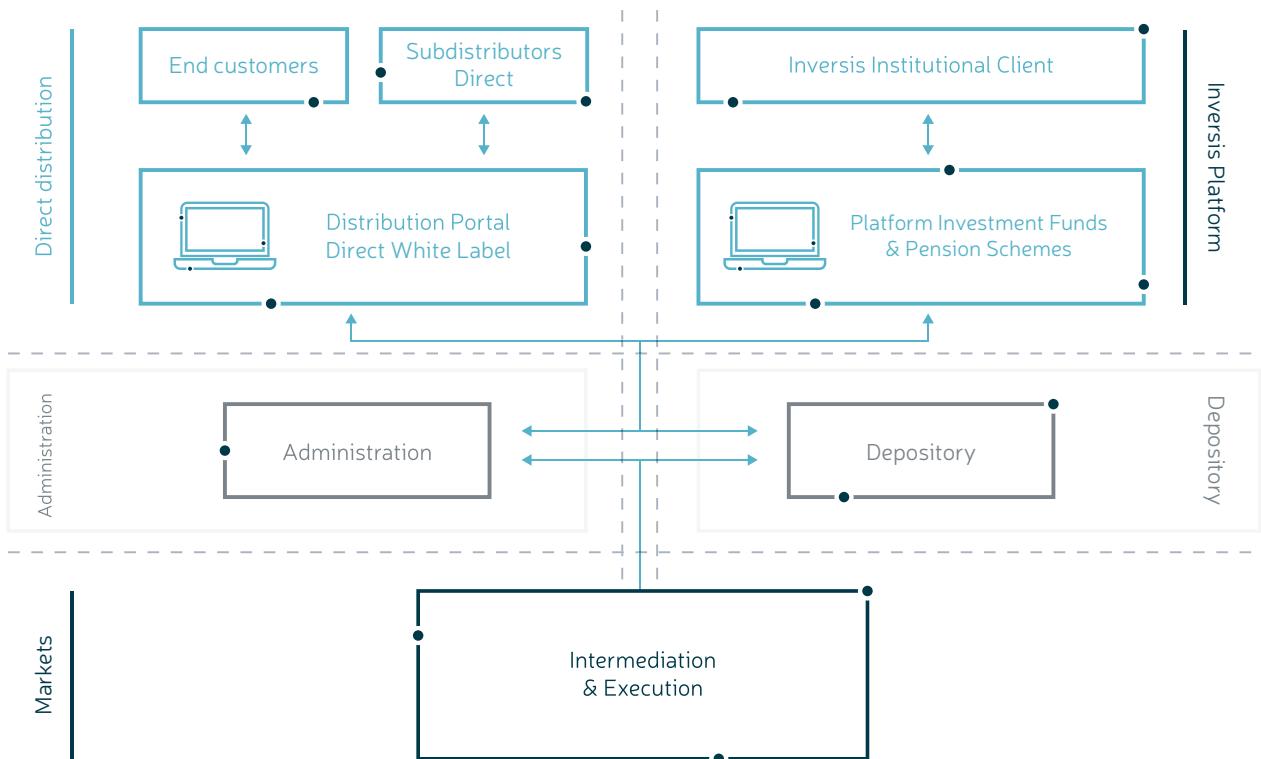
As crucial as excellent management and correct administration is having adequate follow-up and control by the Depositary entity. Proper supervision by the Depositary will proactively help manage rigorous compliance with all the obligations that surround collective investment institutions.

A Depositary service that goes far beyond traditional approaches that are limited to reviewing the reserve statements to be sent to the supervisory bodies, and that includes things as unusual as, for example, the daily and parallel monitoring of the calculation of net asset values.

The value of the integration

The combination of the special services directed to CIIs and the Inversis global service offering creates a proposition of unbeatable value. A single click will suffice to close the circle: from the purchase order, to the custody

of the corresponding financial assets and the calculation of net asset values. Safeguarding the obligatory Chinese walls between the different reserved activities.



A LEADING TECHNOLOGY SERVICE

Technology born to serve business ... your business

Our financial assets technology has been designed from the ground up to be able to respond to the demands of different companies dedicated to the distribution of financial assets. No two customers are alike, and the service must be adaptable. A modular, scalable and parameter-based system allows us to personalize our solutions to each business's needs.

Easily-integrated, Open Technology. Time-to-market added value

One of our characteristics is the capacity and ease of integrating our service with the operational peculiarities of each client. To achieve that goal and facilitate integration tasks, we provide solutions based on market standards. Both for Online information exchanges (through XML technology, web services, APIs and protocols such as MQSeries, FIX, SWIFT, ...) and for batch processes (SFTP, Editran, ...)

Reliability and Confidence equals Continuity and Security

Business relationships are based on mutual trust. But when the value proposition to the client consists of a Business Process Outsourcing (BPO) agreement, outsourcing all or part of the back-office activities and technology, trust is not enough without a guarantee of continuity.

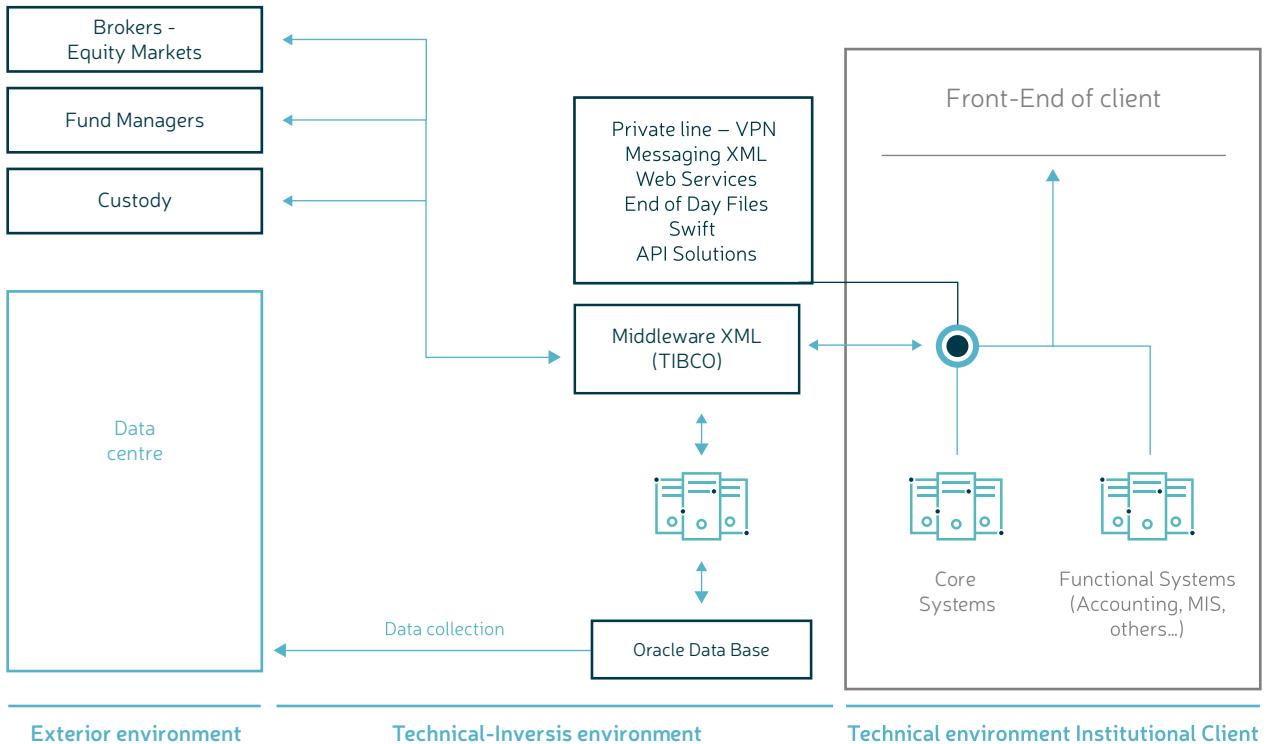
All the infrastructures of our main process centre are duplicated and organised as a cluster. This way we guarantee the levels of availability and response at all times, with load balancers and other tools that monitor and distribute the processes between the equipment and systems. An environment monitored at all times by anti-intrusion technologies and with periodic tests of ethical hacking.

With data replication in real time between the main process centre and the alternative Centre of Business Continuity. A centre with the infrastructure to house human teams in case of necessity and direct connections with markets, cameras, and, of course, with alternative communication circuits with your business. All to guarantee the continuity of the service under any circumstance. And because we are convinced of the quality and security of our service, we offer service level agreements (SLAs) which include the parameters and service standards that we offer. Because there is no room for surprises.

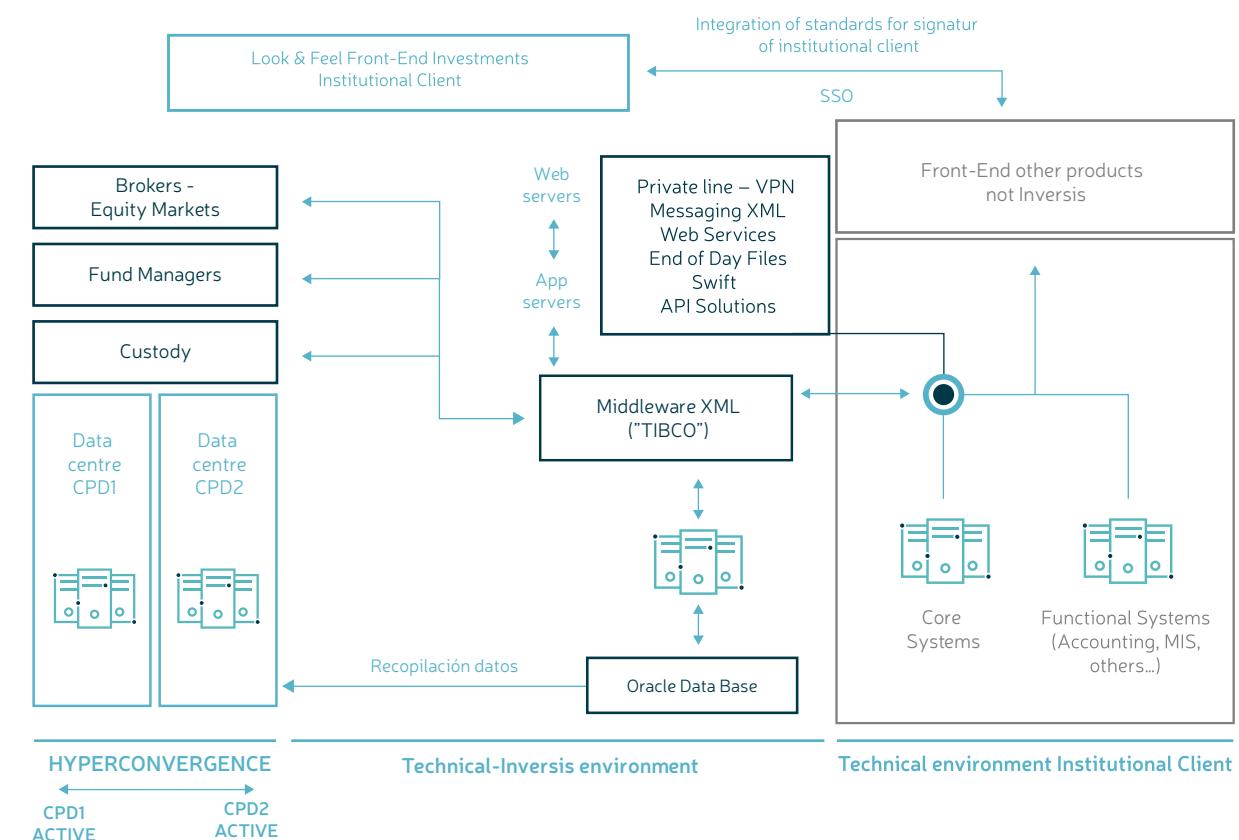
Bank or technology company?

An outsourcing service that goes beyond "traditional" offerings. A service that is integrated with each client's own systems. As far as the presentation layer is concerned, you can freely choose to integrate your frontend with our service, or implement our generic internet frontend with your own corporate identity. A frontend capable of integrating with other systems - your own or third-party solutions - with single sign-on ("sso") technology.

Option 1: Front-End of institutional client



Option 2 : Front-End white label provided by Inversis





A solution in a world of mobility

In an environment characterized by the growing importance of mobile devices, our offer also develops generic solutions for smartphones and tablets. We want to bring your business closer to your customers. Wherever they are.

Integral services: From execution to custody

With a single click at the time of order, your employees and final customers can benefit from real-time implementation and then track their investments. With all the simplicity derived from a contractual settlement model.

A global offer: access to the markets with a single click.

Europe	
Germany	Holland
Austria	Italy
Belgium	Norway
Denmark	Portugal
Spain	United Kingdom
Finland	Sweden
France	

America	
Canada	
USA	
Mexico	

Asia & Oceania	
Australia	
China (Hong Kong)	
Japan	
Singapore	

For the long-term investor, for the heavy trader

- They choose us for our product offering and the functionalities of the service.
- Traditional orders (to the marketplace, price-limited ...)
- Time-limited Orders for a future date.
- Stop-loss and Trading-Stop orders.
- Orders conditioned by any other value or index.

...And for the tools available

- Multi-channel management (branches, telephone, Internet).
- Own and third-party tools integrated into the service.
- Availability of customised portals for mobile devices (smartphones, tablets).

Value-added content designed to help boost the business

- Integration of third-party content to power the business (search engines, buyers, analysis tools and graphics, virtual portfolios ...)
- Workflows to publish recommendations, analysis...

For the professional investor, a world of opportunities

For professional investors (proprietary portfolio traders, fund managers, insurance companies ...), in addition to our own service, the freedom to operate through any intermediary. A service focused on the liquidation and custody of their positions.



The power of outsourcing. Betting on the business

- Service at the final owner level (in addition to global accounts).
- Integration with the institutional client's cash accounts system.
- Realization of MiFID tests for each order, with criteria for profiling customers and products.
- Generation of receipts for personalized orders with brand name and disclaimers.
- Calculation of commissions for final clients.
- Tax calculation and retention reporting service.
- Online management of financial transactions, with default instructions.
- Real-time and batch reporting for end-of-day files.
- Reporting to regulatory authorities.

Connectivity, connectivity, connectivity, a customized integration

You choose:

- FIX
- API
- Web services
- Other protocols
(Visual Trader, Bloomberg ...)

Universal offer and a unique solution

Inversis is one of the largest fund trading platforms. A genuinely global platform with direct access to the fund managers.

- Mutual investment funds (UCITs).
- Alternative funds (Hedge Funds).
- ETF's.
- Pension plans.

Open architecture or guided... your choice

- Choice of managers to include in the mix.
- Choice of funds from the fund managers.
- Access control of employees or clients to certain kinds of funds.
- Better execution control for different classes of mutual funds.

Efficiency in a complex and regulated universe

- Online access to all regulatory documents.
- Integration with search tools and fund selection.

For the retail investor and the investment professional

- Securities orders by amount or by number of shares.
- Periodic orders and future orders.
- Orders on baskets of funds.
- Automated rebalancing of fund portfolios under discretionary management.
- Automated management of reinvestment orders (transfers and switches).

The power of outsourcing. Betting on the business

- Service at the end-user level (instead of global accounts).
- Integration with the institutional client's cash accounts system.
- Performance of MiFID tests for each order according to the criteria for profiling customers and products.
- Online access to the legal documentation to be provided.
- Generation of receipts for personalized orders with brand name and disclaimers.
- Calculation of commissions at final holder and fund level.
- Tax calculation and retention reporting service.
- Fiscal simulations and consultation of FIFOs.
- Real-time and batch reporting for end-of-day files.
- Reporting to regulatory authorities.

Solutions with made-to-measure value

- Access to our fund selection specialists.
- Advice and selection of funds according to the criteria of the institutional client.
- Preparation of training material and support for commercial networks.

Much more than a Fintech company

The financial industry and the financial assets sector are today technological sectors. Our technology, created from scratch specifically to serve other entities, creates the difference.

- A modular and customizable system instead of a rigid system.
- A system based on parameters, in order to customize the service.
- An international system, which is not designed for a single jurisdiction.

Open technology in a world of connectivity

A technology designed ad-hoc for financial assets, oriented towards connectivity and integration. A technology developed for real-time, because the batch may be too late in this business. With Online integration protocols and batch solutions. The value of the time-to-market.

Reliability and trust. Continuity and security

We are a part of your business. For this reason, we prioritize all aspects aimed at ensuring the continuity of the service

- Redundant architecture and technical solutions.
- Single sign-on solutions.
- Process monitoring.
- Load Balancers.
- Contingency and recovery tests.
- Cybersecurity

And we guarantee it with SLAs (Service Level Agreements) at all our service levels.

A mobile environment

A solution designed for a world where mobility is a reality, providing services where the client needs them.

- Customizable web frontend with the “look & feel” (brand and colours) of the institutional client.
- Solution for phones (smartphones).
- Specific application (App) for tablets.

Integration with third-party value-added tools.

Hyper-convergence

In a sector where speed is the key to taking advantage of business opportunities, Inversis is a pioneer in Spain for hyper-converged technology for the financial sector. This revolutionary technology unifies the process and storage of data, centralizing in a single device managed by software all the components of a system (servers, networks and storage hardware). In addition to simplifying infrastructures, having it active-active speeds up the response time to reach 99.995% customer service availability.

API Solutions

We improved our technological proposal to optimize yours.

In our APIs platform you will quickly and easily find the financial solution you need to streamline and enhance your business.

We have a complete catalogue of APIs developed to integrate without complications into your business site. Each one includes technical documentation with everything you need to know for its correct implementation.

The value of control

Inversis has an administration and depositary services offering aimed at Collective Investment Institutions and pension fund managers. The integration of these services with our transactional offering creates an unbeatable value.

From the click of an order to the calculation of the net asset values and the simultaneous control of the positions as Depositaries. No interventions, no manual processes, in real time. We offer maximum integration with segregation and separation of activities.

Administration of CIIs

Our objective: Allow you to focus on asset management, your core business.

Beyond old stereotypes. A simple Internet connection to access:

- Calculation of the net asset values.
- Portfolio reports, balances, etc.
- Accounting movements (accruals, etc.).
- Register of participants and shareholders.
- Interaction with distributors.

Depositary of CIIs and PFs

All the industry trends tend to emphasize the value of the Depositary. A service approach that exceeds traditional models based on the mere revision of the financial statements to be reported. A service model that seeks, through continuous monitoring, to be an aid and control element for the managing entity.

